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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Application Number	10/088,724
Filing Date	03/18/2002
First Named Inventor	Nishimoto, I.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	082377-000000US

Total Number of Pages in This Submission

1

Application Number

082377-000000US

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)
(please identify below):

1) Return receipt postcard

2) One (1) reference

Remarks

The Commissioner is authorized to charge any additional fees to
Deposit Account 20-1430.Total number of pages does not include cited references.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
and
Individual name

Townsend and Townsend and Crew LLP

Joe Liebeschuetz

Reg No. 37,505

Signature

Date

July 3, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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Date

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PA 3233852 v1



PATENT
Attorney Docket No.: 082377-000000US
Client Reference No.: KUV-102DP1PCT-
1-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Nishimoto, I.

Application No.: 10/088,724

Filed: March 18, 2002

For: HUMANIN, A POLYPEPTIDE
SUPPRESSING NEURONAL DEATH

Examiner: Unassigned

Art Unit: Unassigned

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

RECEIVED

AUG 16 2002

TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The reference cited on attached form PTO/SB/08B is being called to the attention of the Examiner. A copy of the reference is enclosed. It is respectfully requested that the cited reference be expressly considered during the prosecution of this application, and that the reference be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

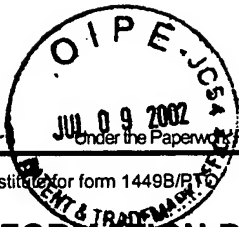
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Joe Liebeschuetz
Reg. No. 37,505

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Completeness if Known	
		Application Number	10/088,724
		Filing Date	March 18, 2002
		First Named Inventor	Nishimoto
		Art Unit	Unassigned
		Examiner Name	Unassigned
Sheet 1 of 1	Attorney Docket Number	082377-000000US	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	MATTSON et al., "Estrogens stabilize mitochondrial function and protect neural cells against the pro-apoptotic action of mutant presenilin-1," <u>NeuroReport</u> , 8:3817-3821 (1997).	

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